

# Gender difference in pulmonary functions and lung parenchyma measurements: The use of propensity scores

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# Talk Outline

## Outline

- Background
- Goal
- Method
- Data Analysis / Results
- Conclusion / Future Work / References

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# Background

## Standardized Volumetric Lung Imaging Includes Coaching to a Standardized Lung Volume



# Pulmonary Function Testing (Defns.)

- **Forced Vital Capacity (FVC)**. Maximum volume of air that can be forcibly expired after full inspiration
- **Forced Expired Volume in 1 Second (FEV1)**. Measured after maximum inspiration, the volume of air that can be expelled in 1 s
- **Residual Volume (RV)**. The volume of air remaining in the lungs at the end of a maximal exhalation
- **Total Lung Capacity (TLC)**. Volume of air in the lungs after maximal inspiration
- **Functional Residual Capacity (FRC)**. The amount of gas remaining in the lung after a normal tidal volume expiration.
- These measures represent the integrated state of the lung function.
- To study the region state of the lung function we turn to computed tomography (CT).



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# Lung Parenchyma measurement

- Obtained from computed tomography (CT)
- Lung density histogram is calculated from relative attenuation differences in the medium.
- Hounsfield Units are used to describe the relative attenuation where air is defined as  $-1000HU$  and water as  $0HU$ .
- Low attenuation areas ( $< -856HU$ ) indicate the structure of the lung at the terminal level. (measured during FRC)
- $-910HU$  and  $-950HU$  are associated with emphysema progression (measured during TLC)
- The high resolution and definition of the CT scans allow to create detailed models of the entire lung structure.

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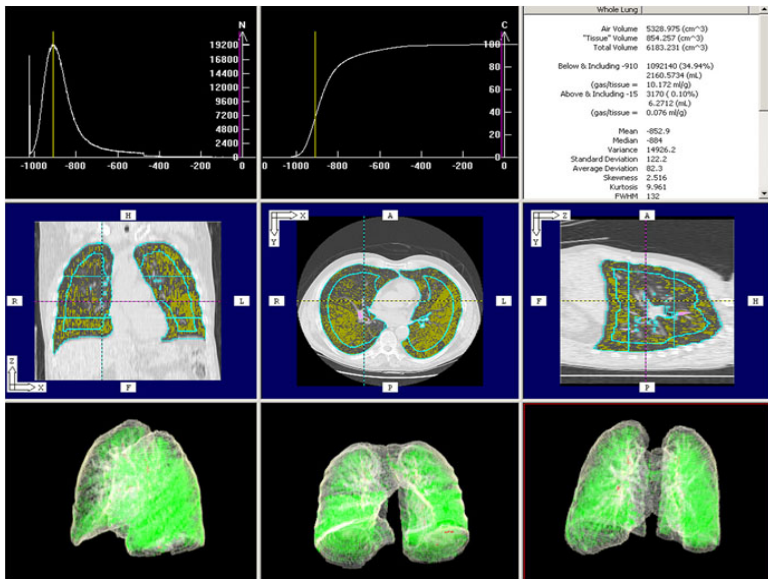
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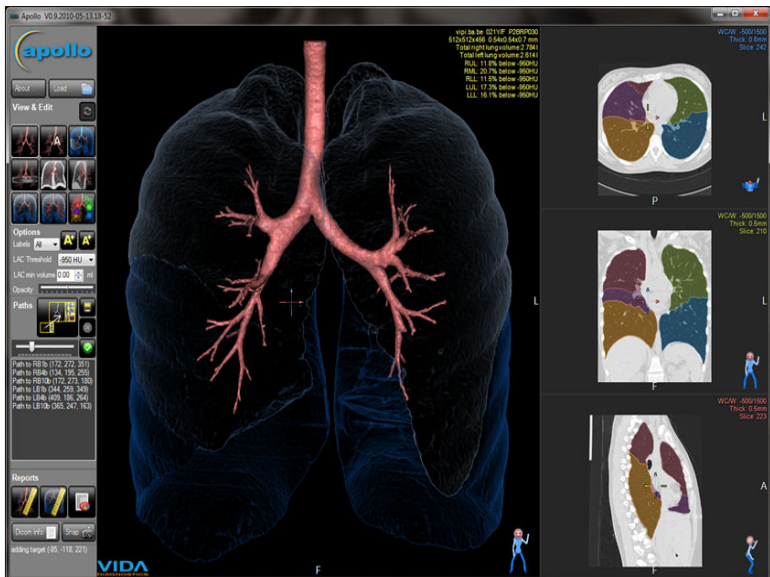
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## Background



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- As expected, male lungs are on average larger than female lungs.
- Also, each respective gender pulmonary function and lung parenchyma measures are different.
- For example,

Baseline Normal Characteristic by gender				
Variable	Male	Female	P-value	
	(N = 51)	(N = 74)		
	Mean	Mean		
<i>Demographics:</i>				
age	32.78	35.01	0.41	
height	1.79	1.65	$2.2 \times 10^{-16}$	
weight	85.24	65.83	$6.1 \times 10^{-16}$	
<i>Pulmonary functions measure:</i>				
rv/tlc	0.29	0.34	0.004	
<i>Lung parenchyma measure:</i>				
total volume	6914.10	5218.56	$2.2 \times 10^{-16}$	

- Are there fundamental biological differences in male and female lungs?
- Or is this difference due to the size difference between genders?

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# Goal

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Our research project explores these differences to investigate whether size confounds the gender differences or whether other biological variables may be at stake. The project uses propensity scores for this exploration by matching males and females based on physical characteristics to remove potential bias due to size, and assess gender differences in pulmonary function and lung parenchyma on the matched subset.

# Propensity Score

## Definition

*Propensity score* is the conditional probability of being treated given covariates.

## Uses

- balances covariates in the two groups (treated and non-treated),
- reduces bias estimates of treatment effects, and
- increases precision in studies.

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# Statistical Definition

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The estimated *propensity score*, for subject  $i$ , ( $i = 1, \dots, N$ ) is the conditional probability of assignment to a particular treatment ( $Z_i = 1$ ) versus control ( $Z_i = 0$ ) given a vector of observed covariates  $X_i$  (Rosenbaum and Rubin, 1998):

$$e(x_i) = Pr(Z_i = 1 | X_i = x_i)$$

where it is assumed that, given the  $X$ 's, the  $Z_i$  are independent:

$$Pr(Z_1 = z_1, \dots, Z_N = z_N | X_1 = x_1, \dots, X_N = x_N) = \prod_{i=1}^N e(x_i)^{z_i} [1 - e(x_i)]^{1-z_i}$$



# Method for Calculating Propensity Scores

## Logistic Regression

- model used to predict the probability that an event occurs

- $$P_i = \ln \left( \frac{e(x_i)}{1-e(x_i)} \right) = \ln \left( \frac{\Pr(z_i=1|x_i)}{1-\Pr(z_i=1|x_i)} \right) = \alpha + \beta^T X_i$$

where:

- $X_i$  is a vector of the observed covariates
- $\beta$  is a vector of the estimated regression coefficients computed using maximum likelihood estimation.

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# Matching

- Matching is a common technique used to select control subject who are matched with the treated subjects on background covariates that the investigator believes need to be controlled.
- Although the idea of finding matches seems straightforward, it is often difficult to find subjects who are similar on all important covariates.
- Some methods used to match subjects are: *Matching by the nearest available logit of the propensity scores*, *Mahalanobis metric matching*, and a combination of both.

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## Matching by the nearest Logit of the Propensity Scores within tolerance level (one-to-one)

$$m(P_i) = \min_j \{|P_i - P_j| < \epsilon\}$$

- Step 1: Calculate the estimated propensity scores for treated and non-treated samples.
- Step 2: Matches for treated subject  $i$  are selected, if possible, only if  $|P_i - P_j| < \epsilon$ , where  $\epsilon$  is a pre-specified tolerance.
- Step 3: The control subject with the value of  $P_j$  that is closest to  $P_i$  is selected as the match, and both are removed from the pool.
- Step 4: Repeat Step 2 and 3 until matching is no longer possible.
- Step 5: Discard the unmatched subjects.



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# Mahalanobis Metric Matching

- In this method, the subjects are ordered randomly, and then the distance between the first treated subject and all controls is calculated.
- This distance between treated subject  $i$  and untreated  $j$  (Mahalanobis distance):

$$d(i, j) = (u - v)^T C^{-1} (u - v)$$

where  $u$  and  $v$  are the matching variables for subject  $i$  and  $j$ , and  $C$  is the sample covariance matrix of the matching variables from the full set of control subjects.

- The control subject,  $j$ , with the minimum distance  $d(i, j)$  is chosen as the match for treated subject  $i$ , and both subjects are removed from the pool.
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## Nearest available Mahalanobis metric matching within calipers defined by the propensity scores

- Step 1: Select a treated subject.
- Step 2: Choose all control subjects within a preset amount (or caliper) of the treated subject estimated logit of the propensity scores.
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## Pulmonary functions measures (PFT) - Baseline Normal Characteristics by gender

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	Male	Female	
	(N = 11)	(N = 11)	
	Mean	Mean	
<i>Demographics:</i>			
age	33.36	31.00	0.74
height	1.74	1.74	0.87
weight	73.39	73.67	0.95
<i>PFT measures:</i>			
rv/tlc	0.34	0.36	0.67

## Pulmonary functions measures (PFT) - Baseline Normal Characteristics by gender

Variable	(Before Matching)		P-value
	Male	Female	
	(N = 51)	(N = 74)	
	Mean	Mean	
<i>Demographics:</i>			
age	32.78	35.01	0.41
height	1.79	1.65	$2.2 \times 10^{-16}$
weight	85.24	65.83	$6.1 \times 10^{-16}$
<i>PFT measures:</i>			
fev1/fvc	0.83	0.82	0.97
rv/tlc	0.29	0.34	0.004

Variable	(After Matching)		P-value
	Male	Female	
	(N = 11)	(N = 11)	
	Mean	Mean	
<i>Demographics:</i>			
age	33.36	31.00	0.74
height	1.74	1.74	0.87
weight	73.39	73.67	0.95
<i>PFT measures:</i>			
rv/tlc	0.34	0.36	0.67



## Lung Parenchyma Measures (LP) - Baseline Normal Characteristics by gender

(Before Matching)(location = both)			
Variable	Male	Female	P-value
	(N = 51)	(N = 74)	
	Mean	Mean	
<i>Demographics:</i>			
age	32.78	35.01	0.41
height	1.79	1.65	$2.2 \times 10^{-16}$
weight	85.24	65.83	$6.1 \times 10^{-16}$
<i>LP measures:</i>			
mld	-862.87	-860.30	0.34
percent below -910	39.23	36.74	0.30
hu value 15 percentile	-935.01	-930.64	0.06
percent below -950	7.70	5.85	0.03
total volume	6914.10	5218.56	$2.2 \times 10^{-16}$

(After Matching)(location = both)			
Variable	Male	Female	P-value
	(N = 11)	(N = 11)	
	Mean	Mean	
<i>Demographics:</i>			
age	33.36	31.00	0.74
height	1.74	1.74	0.87
weight	73.39	73.67	0.95
<i>LP measures:</i>			
total volume	6450.29	6043.71	0.23
hu value 15 percentile	-939.18	-929.69	0.02
percent below -950	8.84	4.64	0.01

## Lung Parenchyma Measures (LP) - Baseline Normal Characteristics by gender

(Before Matching)(location = both)			
Variable	Male	Female	P-value
	(N = 51)	(N = 74)	
	Mean	Mean	
<i>Demographics:</i>			
age	32.78	35.01	0.41
height	1.79	1.65	$2.2 \times 10^{-16}$
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<i>LP measures:</i>			
mld	-862.87	-860.30	0.34
percent below -910	39.23	36.74	0.30
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total volume	6914.10	5218.56	$2.2 \times 10^{-16}$

(After Matching)(location = both)			
Variable	Male	Female	P-value
	(N = 11)	(N = 11)	
	Mean	Mean	
<i>Demographics:</i>			
age	33.36	31.00	0.74
height	1.74	1.74	0.87
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<i>LP measures:</i>			
total volume	6450.29	6043.71	0.23
hu value 15 percentile	-939.18	-929.69	0.02
percent below -950	8.84	4.64	0.01

## Lung Parenchyma Measures (LP) - Baseline Normal Characteristics by gender

(Before Matching)(location = left)			
Variable	Male	Female	P-value
	(N = 51)	(N = 74)	
	Mean	Mean	
<i>Demographics:</i>			
age	32.78	35.01	0.41
height	1.79	1.65	$2.2 \times 10^{-16}$
weight	85.24	65.83	$6.1 \times 10^{-16}$
<i>LP measures:</i>			
mld	-862.98	-860.56	0.39
slope below -910	-1.63	-1.59	0.37
percent below -910	39.37	37.09	0.36
slope below -950	-2.31	-2.26	0.21
hu value 15 percentile	-935.50	-931.11	0.07
percent below -950	8.14	6.28	0.03
total volume	3272.10	2463.54	$2.2 \times 10^{-16}$

(After Matching )(location = left)			
Variable	Male	Female	P-value
	(N = 11)	(N = 11)	
	Mean	Mean	
<i>Demographics:</i>			
age	33.36	31.00	0.74
height	1.74	1.74	0.87
weight	73.39	73.67	0.95
<i>LP measures:</i>			
total volume	2997.18	2824.22	0.23
hu value 15 percentile	-938.71	-929.97	0.04
percent below -950	8.94	4.89	0.01

## Lung Parenchyma Measures (LP) - Baseline Normal Characteristics by gender

(Before Matching)(location = left)			
Variable	Male	Female	P-value
	(N = 51)	(N = 74)	
	Mean	Mean	
<i>Demographics:</i>			
age	32.78	35.01	0.41
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mld	-868.68	-860.03	0.32
percent below -910	39.02	36.38	0.27
slope below -910	-1.65	-1.60	0.24
hu value 15 percentile	-934.44	-930.12	0.06
percent below -950	7.27	5.46	0.02
slope below -950	-2.47	-2.35	0.02
total volume	3642.00	2755.03	$2.2 \times 10^{-16}$

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Variable	Male	Female	P-value
	(N = 11)	(N = 11)	
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<i>Demographics:</i>			
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height	1.74	1.74	0.87
weight	73.39	73.67	0.95
<i>LP measures:</i>			
total volume	3453.11	3219.49	0.27
hu value 15 percentile	-939.29	-929.42	0.02
percent below -950	8.68	4.43	0.01

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(Before Matching)(location = right)			
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total volume	3453.11	3219.49	0.27
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percent below -950	8.68	4.43	0.01

# Conclusion

- For pulmonary function measures before matching there was a statistically significant difference for  $rv/tlc$ . After matching the significance was completely removed. Therefore,  $rv/tlc$  is confounded by the gender size difference.
- Lung parenchyma measures of total volume before matching was statistically significant and after matching the significance was removed. Therefore, total volume is confounded by the gender size difference.
- For percent below  $-950HU$  the difference was significant prior to matching and remained significant after matching. In this case, there might be a biological explanation.
- For  $HU$  value 15 percentile there was a marginal difference prior to matching and became statistically significant after matching. Therefore, before concluding anything we first must consider controlling demographics.

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- For percent below  $-950HU$  the difference was significant prior to matching and remained significant after matching. In this case, there might be a biological explanation.
- For  $HU$  value 15 percentile there was a marginal difference prior to matching and became statistically significant after matching. Therefore, before concluding anything we first must consider controlling demographics.

# Future work

- One to many or many to one matching.
- Explore best tolerance level for matching.
- Explore the noise induce by Mahalanobis metric matching within caliper defined by propensity scores.

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University of Iowa, Iowa City

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# APPENDIX

Full results tables



# Pulmonary functions measures

Baseline Characteristic by gender (Before Matching)				
Variable	Male	Female	P-value	
	(N = 51)	(N = 74)		
	Mean	Mean		
<i>Demographics:</i>				
age	32.78	35.01	0.41	
height	1.79	1.65	$2.2 \times 10^{-16}$	
weight	85.24	65.83	$6.1 \times 10^{-16}$	
bmi	26.48	24.22	< 0.01	
<i>Pulmonary Functions measures:</i>				
fev1/fvc	0.83	0.82	0.97	
fev1	1.09	1.081	0.80	
fvc	1.04	1.04	0.79	
tlc	1.03	1.04	0.60	
svc	0.97	0.99	0.45	
ic	1.24	1.19	0.27	
dlco	1.13	1.19	0.27	
rv/tlc	0.29	0.34	0.004	

# Pulmonary functions measures

Baseline Characteristic by gender (After Matching by nearest logit PS within tolerance level)				
Variable	Male	Female	P-value	
	(N = 11)	(N = 11)		
	Mean	Mean		
<i>Demographics:</i>				
age	33.36	31.00	0.74	
height	1.74	1.74	0.87	
weight	73.39	73.67	0.95	
bmi	24.12	24.44	0.82	
<i>Pulmonary Functions measures:</i>				
rv/tlc	0.34	0.36	0.67	
tlc	1.09	1.12	0.67	
fev1/fvc	0.81	0.84	0.27	
fvc	0.98	1.05	0.20	
ic	1.10	1.21	0.17	
svc	0.90	0.98	0.17	
dlco	0.97	1.13	0.10	
fev1	0.99	1.09	0.09	

# Pulmonary Functions Measures

Baseline Characteristic by gender  
(After Matching by nearest Mahalanobis metric with calipers defined by logit PS within tolerance level)

Variable	Male	Female	P-value
	(N = 13)	(N = 13)	
	Mean	Mean	
<i>Demographics:</i>			
age	32.92	29.31	0.55
height	1.74	1.74	0.83
weight	72.27	72.66	0.50
bmi	24.76	24.14	0.63
<i>Pulmonary Functions measures:</i>			
svc	0.97	0.97	0.97
ic	1.24	1.23	0.96
fvc	1.03	1.04	0.90
dlco	1.03	1.06	0.73
tlc	1.10	1.13	0.71
rv/tlc	0.31	0.34	0.52
fev1	1.04	1.08	0.51
fev1/fvc	0.81	0.85	0.06

## Lung Parenchyma Measures

Baseline Characteristic by gender (Before Matching)(location = both)				
Variable	Male	Female	P-value	
	(N = 51)	(N = 74)		
	Mean	Mean		
<i>Demographics:</i>				
age	32.78	35.01	0.41	
height	1.79	1.65	$2.2 \times 10^{-16}$	
weight	85.24	65.83	$6.1 \times 10^{-16}$	
bmi	26.48	24.22	0.01	
<i>Lung Parenchyma measures:</i>				
mld	-862.87	-860.30	0.34	
percent below -910	39.23	36.74	0.30	
hu value 15 percentile	-935.01	-930.64	0.06	
percent below -950	7.70	5.85	0.03	
total volume	6914.10	5218.56	$2.2 \times 10^{-16}$	

# Lung Parenchyma Measures

Baseline Characteristic by gender (After Matching by nearest logit PS with tolerance level)(location = both)			
Variable	Male (N = 11)	Female (N = 11)	P-value
	Mean	Mean	
<i>Demographics:</i>			
age	33.36	31.00	0.74
height	1.74	1.74	0.87
weight	73.39	73.67	0.95
bmi	24.12	24.44	0.82
<i>Lung Parenchyma measures:</i>			
total volume	6450.29	6043.71	0.23
mld	-868.27	-859.48	0.07
percent below -910	43.77	35.24	0.06
hu value 15 percentile	-939.18	-929.69	0.02
percent below -950	8.84	4.64	0.01

## Lung Parenchyma Measures

Baseline Characteristic by gender  
 (After Matching by nearest Mahalanobis metric with calipers defined by  
 logit PS with tolerance level)(location = both)

Variable	Male	Female	P-value
	(N = 13)	(N = 13)	
	Mean	Mean	
<i>Demographics:</i>			
age	32.92	29.31	0.55
height	1.74	1.74	0.83
weight	75.27	72.66	0.50
bmi	24.76	24.14	0.63
<i>Lung Parenchyma measures:</i>			
total volume	6653.63	5958.65	0.04
mld	-870.55	-856.06	< 0.01
percent below -910	45.95	32.57	< 0.01
hu value 15 percentile	-941.31	-927.03	< 0.01
percent below -950	9.68	4.12	< 0.01

## Lung Parenchyma Measures

Baseline Characteristic by gender (Before Matching)(location = left)				
Variable	Male	Female	P-value	
	(N = 51)	(N = 74)		
	Mean	Mean		
<i>Demographics:</i>				
age	32.78	35.01	0.41	
height	1.79	1.65	$2.2 \times 10^{-16}$	
weight	85.24	65.83	$6.1 \times 10^{-16}$	
bmi	26.48	24.22	< 0.01	
<i>Lung Parenchyma measures:</i>				
mld	-862.98	-860.56	0.39	
slope below -910	-1.63	-1.59	0.37	
percent below -910	39.37	37.09	0.36	
slope below -950	-2.31	-2.26	0.21	
hu value 15 percentile	-935.50	-931.11	0.07	
percent below -950	8.14	6.28	0.03	
total volume	3272.10	2463.54	$2.2 \times 10^{-16}$	

## Lung Parenchyma Measures

Baseline Characteristic by gender  
(After Matching by nearest logit PS within tolerance level )(location = left)

Variable	Male	Female	P-value
	(N = 11)	(N = 11)	
	Mean	Mean	
<i>Demographics:</i>			
age	33.36	31.00	0.74
height	1.74	1.74	0.87
weight	73.39	73.67	0.95
bmi	24.12	24.44	0.82
<i>Lung Parenchyma measures:</i>			
slope below -910	-1.56	-1.57	0.87
slope below -950	-2.24	-2.27	0.71
total volume	2997.18	2824.22	0.23
mld	-866.68	-859.46	0.15
percent below -910	42.54	35.33	0.12
hu value 15 percentile	-938.71	-929.97	0.04
percent below -950	8.94	4.89	0.01



# Lung Parenchyma Measures

Baseline Characteristic by gender  
(After Matching by nearest Mahalanobis metric with calipers defined by logit PS within tolerance level )(location = left)

Variable	Male	Female	P-value
	(N = 13)	(N = 13)	
	Mean	Mean	
<i>Demographics:</i>			
age	32.92	29.31	0.55
height	1.74	1.74	0.83
weight	75.27	72.66	0.50
bmi	24.76	24.14	0.63
<i>Lung Parenchyma measures:</i>			
slope below -950	-2.25	-2.25	0.93
slope below -910	-1.52	-1.60	0.21
total volume	3122.72	2778.19	0.03
percent below -910	45.22	32.59	0.01
mld	-869.48	-855.90	< 0.01
hu value 15 percentile	-941.24	-927.22	< 0.01
percent below -950	9.90	4.36	< 0.01

## Lung Parenchyma Measures

Baseline Characteristic by gender (Before Matching)(location = right)			
Variable	Male	Female	P-value
	(N = 51)	(N = 74)	
	Mean	Mean	
<i>Demographics:</i>			
age	32.78	35.01	0.41
height	1.79	1.65	$2.2 \times 10^{-16}$
weight	85.24	65.83	$6.1 \times 10^{-16}$
bmi	26.48	24.22	0.00
<i>Lung Parenchyma measures:</i>			
mld	-868.68	-860.03	0.32
percent below -910	39.02	36.38	0.27
slope below -910	-1.65	-1.60	0.24
hu value 15 percentile	-934.44	-930.12	0.06
percent below -950	7.27	5.46	0.02
slope below -950	-2.47	-2.35	0.02
total volume	3642.00	2755.03	$2.2 \times 10^{-16}$

## Lung Parenchyma Measures

Baseline Characteristic by gender (After Matching by nearest logit PS within tolerance level )(location = right)			
Variable	Male (N = 11)	Female (N = 11)	P-value
	Mean	Mean	
<i>Demographics:</i>			
age	33.36	31.00	0.74
height	1.74	1.74	0.87
weight	73.39	73.67	0.95
bmi	24.12	24.44	0.82
<i>Lung Parenchyma measures:</i>			
slope below -910	-1.57	-1.59	0.75
slope below -950	-2.39	-2.32	0.60
total volume	3453.11	3219.49	0.27
mld	-869.45	-859.49	0.04
percent below -910	44.67	35.14	0.03
hu value 15 percentile	-939.29	-929.42	0.02
percent below -950	8.68	4.43	0.01

# Lung Parenchyma Measures

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Variable	Male	Female	P-value
	(N = 13)	(N = 13)	
	Mean	Mean	
<i>Demographics:</i>			
age	32.92	29.31	0.55
height	1.74	1.74	0.83
weight	75.27	72.66	0.50
bmi	24.76	24.14	0.63
<i>Lung Parenchyma measures:</i>			
slope below -950	-2.36	-2.32	0.72
slope below -910	-1.54	-1.62	0.19
total volume	3530.92	3180.46	0.07
mld	-871.28	-855.17	< 0.01
percent below -910	46.43	32.52	< 0.01
percent below -950	9.42	3.91	< 0.01
hu value 15 percentile	-941.09	-926.77	< 0.01