Development of Molecular Profiles to Predict Treatment Outcomes in Lymphoma Patients

> JOE MOEN ELIZABETH WOLF SARA BURNS

**MENTOR = = "DR. BRIAN SMITH"** 

#### Outline

- Background information
- Introduce data set
- Univariate screening
- Clustering
- Dimension reduction (scoring)
- Multivariate Cox regression model
- Conclusion

## What is Lymphoma?

- Lymphoma is a type of cancerous cell that develops in the immune system
- 5th most common cancer in North America
- Treatments:
  - chemotherapy
  - $\circ$  radiotherapy
  - bone marrow transplantation



#### What is Lymphoma?

Stage **Distribution** and 5-year **Relative** Survival by Stage at **Diagnosis for** 2002-2008, All Races, **Both Sexes** (NCI)

Stage at Diagnosis	Stage Distribution (%)	5-year Relative Survival (%)	
Localized (confined to primary site)	27	82.0	
Regional (spread to regional lymphnodes)	19	77.8	
Distant (cancer has metastasized)	47	61.7	
Unknown (unstaged)	8	66.5	

### Previous Study

- G. LENZ STUDY ON DIFFUSE LARGE B CELL LYMPHOMA
- A PREVIOUS STUDY IN 2008 BY THE NCI WAS PUBLISHED IN THE NEW ENGLAND JOURNAL OF MEDICINE
- IT MEASURED THE SURVIVAL RATES OF LYMPHOMA PATIENTS
- THE TWO TREATMENT GROUPS WERE R-CHOP AND CHOP

## Previous Study

- OBJECTIVE: PREDICT SURVIVAL AS A FUNCTION OF GENE EXPRESSION VARIABLES
- OUTCOME = TIME TO DEATH
- PREDICTORS = GENE EXPRESSION LEVEL OBTAINED BY MICROARRAY TESTING

## Microarray Testing



MOST AFFORDABLE AND COMMONLY USED FORM OF TESTING GENE EXPRESSION

- Results are quantitative
- 54,000 numeric variables

# Summary Statistics of R-CHOP Data

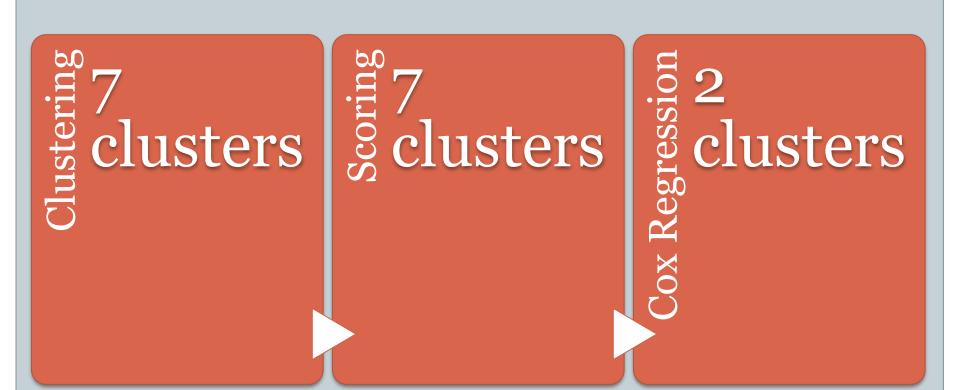
	Mean	Median	
Age	60.13	61	
Status	0.25	0	
Follow up time	2.14	2.41	

This is a retrospective study, therefore our data is censored (time to death is not always measurable)





#### Our Study



## Reduction

#### **DISMISSED 180 CHOP PATIENTS**

- Analysis is performed in R-Studio
- Parsed through full dataset
- Created new matrix that contains only patients treated with R-CHOP
- New matrix contained 232 patients and 54,000 genes
- We focused on the R-CHOP data because it is the newest and most effective form of chemotherapy treatment for lymphoma

#### **Univariate Screening**

#### ASSOCIATION BETWEEN GENE AND OVERALL SURVIVAL

For a given gene, and a randomly selected subject i and j:

 $\begin{array}{l} H_{O}: \Pr(g_{i} > g_{j} \mid t_{i} < t_{j}) = 0.5 \\ H_{A}: \Pr(g_{i} > g_{j} \mid t_{i} < t_{j}) \neq 0.5 \end{array}$ 

Where  $g_i$  and  $g_j$  are the gene expressions for a randomly selected subject i and j; and  $t_i$  and  $t_j$  are their time to death.

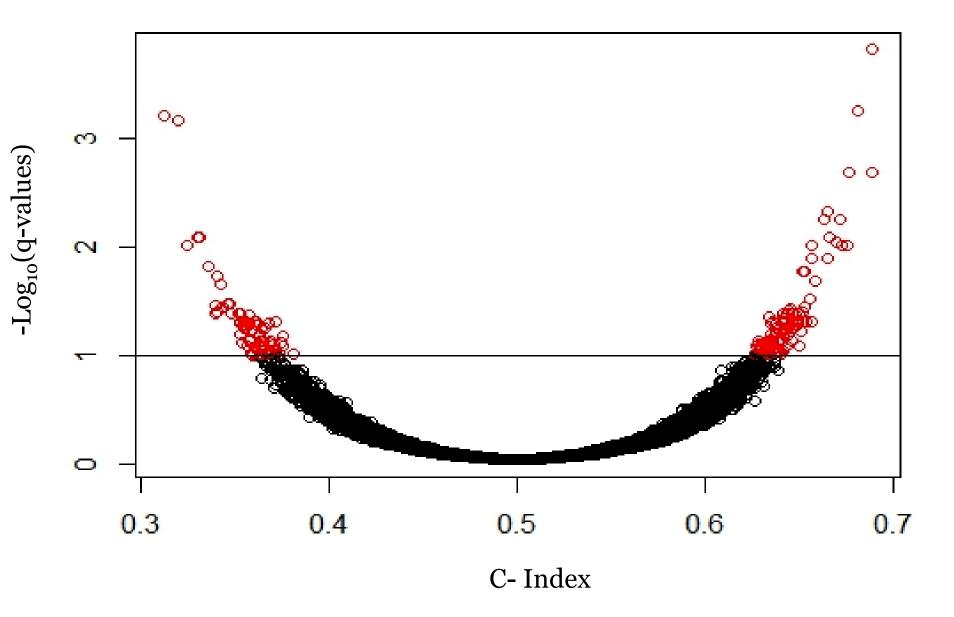
```
install.packages("Hmisc")
library(Hmisc)
genes = t(exprs(etrain))
rcorrcens1 <- function(e) {</pre>
  t = e futime
  d = e$fustatus
  p = nrow(e)
  C = rep(NA, p)
  pvalue = rep(NA, p)
  for(j in 1:p) {
    x = genes[,j]
    r = rcorrcens(Surv(t, d) ~ x)
    C[j] = r["x", "C"]
    pvalue[j] = r["x", "P"]
  list(Cvalues = C, pvalues = pvalue)
  #list(pval = 1 - pchisq(x2, 1), hr = exp(beta), betas = sqrt(hr))
 = rcorrcens1(etrain)
pvals = r$pvalues
```

## Univariate Screening

- Measure of association between time of death and level of gene expression.
  - 0.5 indicates no association
- Calculated p-values to test the hypothesis based on the Cindex of Harrell
- P-values were converted to Q-values
- Genes selected to maintain 10% FDR

## False Discovery Rate

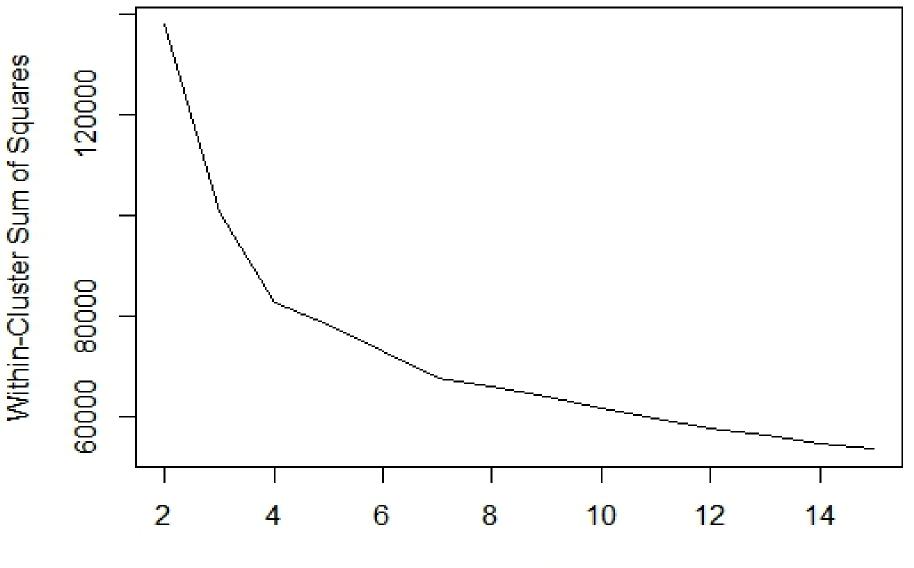
- False discovery rate : among those selected, the average number of genes thought to be significant that proved NOT to be significant
- FDR =10%- manageable number and benchmark FDR
- Lenz study used p values instead of using a false discovery rate to identify significant genes in the screening process



#### K-Means Clustering

- The partitioning of genes into groups with similar expression levels
- K- indicates the number of clusters into which the genes are partitioned
- Squared Euclidean distance
- C(i)- represents the cluster assignment for cluster i estimated by the algorithm
- x<sub>i</sub>- represents the set of expression values for expression i
- ^  $\mu_k$  mean of cluster k

$$WSS = \sum_{k=1}^{K} \sum_{C(i)=k} ||x_i - \hat{\mu}_k||.$$



Number of Clusters

**Cluster 1: 8 genes** 

"1552531\_a\_at" "1553499\_s\_at" "203434\_s\_at" "203435\_s\_at" "206310\_at" "219874\_at" "231887\_s\_at" "244467\_at"

**Cluster 2: 34 genes** 

"1555275\_a\_at" "1560397\_s\_at" "201866\_s\_at" "202022\_at" "202172\_at" "202740\_at" "203285\_s\_at" "203524\_s\_at" "203633\_at" "203723\_at" "204012\_s\_at" "204866\_at" "206003\_at" "206181\_at" "208456\_s\_at" "209621\_s\_at" "209825\_s\_at" "210461\_s\_at" "212133\_at" "213534\_s\_at" "218324\_s\_at" "221036\_s\_at" "221912\_s\_at" "222482\_at" "223159\_s\_at" "225207\_at" "226930\_at" "227220\_at" "227684\_at" "227904\_at" "230509\_at" "235213\_at" "235692\_at" "235743\_at"

**Cluster 3: 35 genes** 

"1554306\_at" "1559867\_at" "1568600\_at" "1570156\_s\_at" "202751\_at" "203516\_at" "203634\_s\_at" "204530\_s\_at" "204584\_at" "206653\_at" "206698\_at" "206756\_at" "207949\_s\_at" "207954\_at" "209938\_at" "213116\_at" "215011\_at" "218296\_x\_at" "219101\_x\_at" "219232\_s\_at" "219241\_x\_at" "219420\_s\_at" "221845\_s\_at" "224357\_s\_at" "227055\_at" "228000\_at" "228977\_at" "229849\_at" "230640\_at" "230888\_at" "239427\_at" "239973\_at" "240616\_at" "241599\_at" "242240\_at"

**Cluster 4: 26 genes** 

"1553979\_at" "200644\_at" "200788\_s\_at" "201160\_s\_at" "201865\_x\_at" "203140\_at" "204249\_s\_at" "205668\_at" "209306\_s\_at" "209337\_at" "209397\_at" "209924\_at" "211275\_s\_at" "211671\_s\_at" "212129\_at" "212589\_at" "212646\_at" "213168\_at" "213708\_s\_at" "216321\_s\_at" "218331\_s\_at" "225331\_at" "226496\_at" "228167\_at" "228812\_at" "32128\_at"

**Cluster 5: 27 genes** 

"1555209\_at" "1555729\_a\_at" "1563621\_at" "205450\_at" "205960\_at" "209840\_s\_at" "210192\_at" "210330\_at" "210688\_s\_at" "214071\_at" "214597\_at" "215056\_at" "215784\_at" "215828\_at" "217455\_s\_at" "219491\_at" "224417\_at" "231049\_at" "232664\_at" "233310\_at" "233458\_at" "234284\_at" "236231\_at" "240921\_at" "241453\_at" "242934\_at" "244367\_at"

**Cluster 6: 17 genes** 

"204428\_s\_at" "211870\_s\_at" "213544\_at" "216617\_s\_at" "220983\_s\_at" "229276\_at" "229361\_at" "231367\_s\_at""231391\_at" "232534\_at" "234871\_at" "237241\_at" "238232\_at" "243392\_at" "243733\_at" "243762\_at" "243905\_at"

**Cluster 7: 31 genes** 

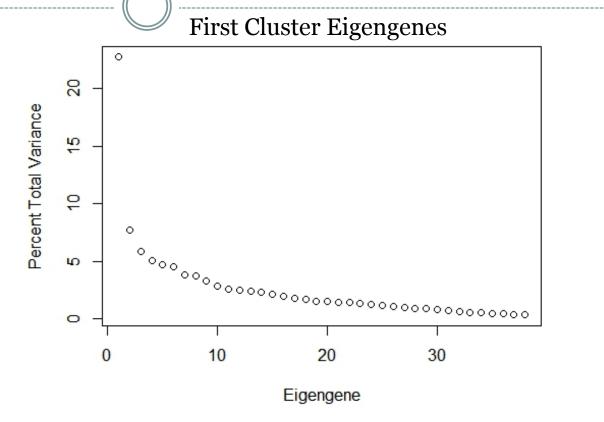
"1555728\_a\_at" "201161\_s\_at" "201512\_s\_at" "201554\_x\_at" "202020\_s\_at" "202171\_at" "203645\_s\_at" "205255\_x\_at" "209100\_at" "212685\_s\_at" "213106\_at" "213189\_at" "213327\_s\_at" "215049\_x\_at" "216945\_x\_at" "218134\_s\_at" "218862\_at" "219061\_s\_at" "219607\_s\_at" "221675\_s\_at" "222592\_s\_at" "222593\_s\_at" "223158\_s\_at" "223414\_s\_at" "224523\_s\_at" "225537\_at" "226001\_at" "226426\_at" "226452\_at" "226874\_at" "229594\_at"

## **Dimension Reduction (Scoring)**

•PRINCIPLE COMPONENTS OR "EIGENGENES"

•DIMENSION REDUCTION AIMS TO CREATE ONE SCORE FOR EACH CLUSTER

•FORMS A LINEAR COMBINATION OF CLUSTER GENES



## Multivariate Cox Regression

 $\lambda \left( t,x\right) =\lambda _{o}\left( t\right) \exp \left\{ \beta _{1}X_{1}+...+\beta _{p}X_{p}\right\}$ 

- $\lambda$  represents death rate (over time)
- $\lambda_0$  (t) -represents base line of death rate
- $\bullet \beta$ -represent estimated variable effect
- Rule of thumb: no more than one variable for every ten events (n/10)
- Outcome: time to event
- Backward variable selection
  - •Variables are taken out one by one starting with the least significant

Final Model Estimates					
Eigengene	β	<b>SE (β)</b>	p-value		
Cluster 2	0.116	0.040	0.0041		
Cluster 4	-0.209	0.061	0.0006		

**Goodness of Fit:** R<sup>2</sup>=0.24 (modest) C-Index=0.79 (good)

# Fitted Model Profile

### $\lambda(t,x) = \lambda_0(t) \exp \{0.116Y_2 - 0.209Y_4\}$

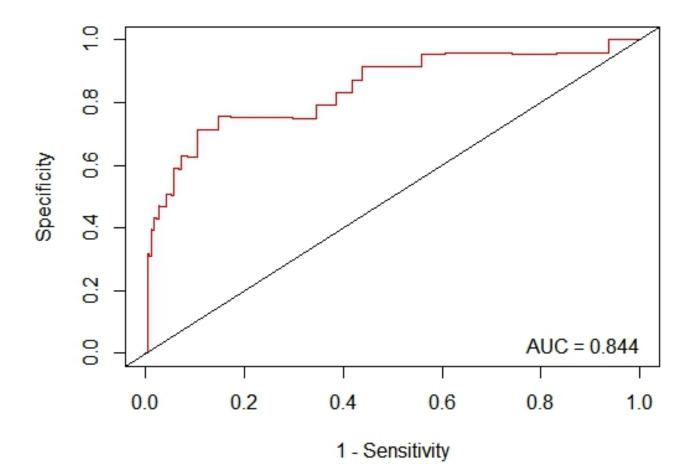
Gene Profile=  $0.116Y_2 - 0.209Y_4$ 

### **ROC** Analysis

- ASSESS PERFORMANCE OF GENE PROFILE IN PREDICTING SURVIVAL
- ILLUSTRATES SENSITIVITY VS SPECIFICITY OVER THE RANGE OF POSSIBLE CUT OFF VALUES FOR THE GENE PROFILING SCORE
- AUC- AREA UNDER THE ROC CURVE
  - 1= PERFECT PREDICTION
  - .5=NO PREDICTIVE ABILITY
- ALL OF THE POSSIBLE CUT OFF VALUES FOR HAVING A POSITIVE OR NEGATIVE TEST

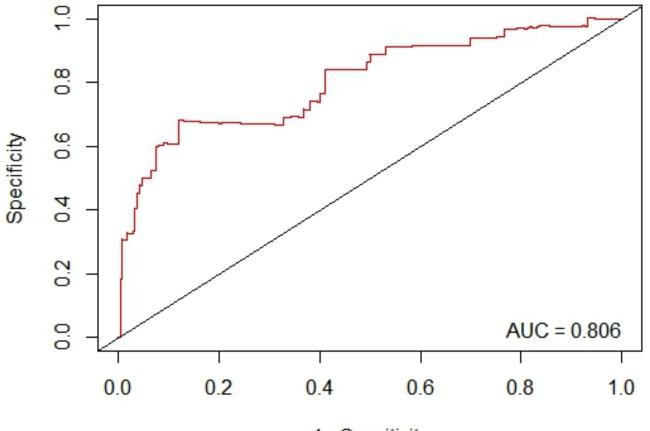
#### **ROC Curves**

6-Month Survival



#### **ROC Curves**

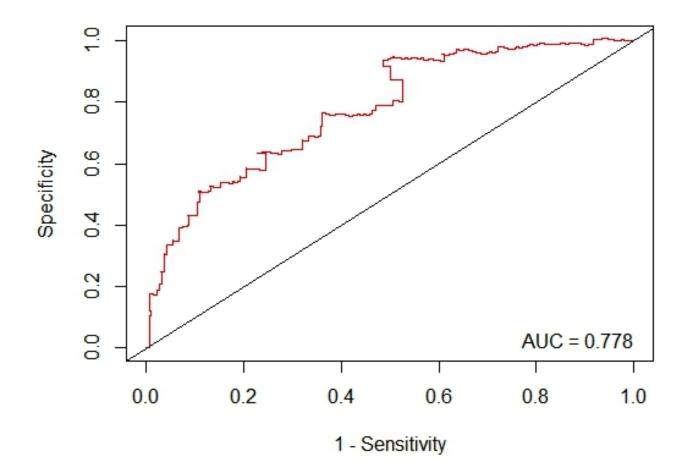
#### **One Year Survival**



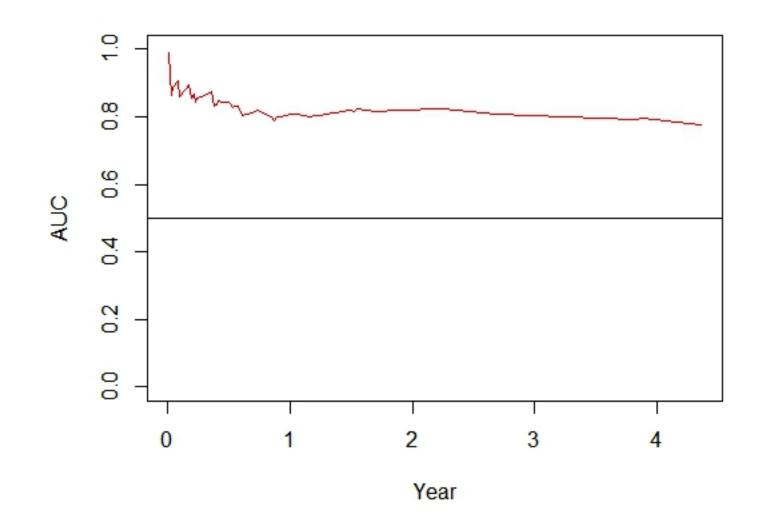
1 - Sensitivity

#### **ROC Curves**

**Five Year Survival** 



#### AUC Curve By Year



#### Conclusion

**Future Studies**: apply our model to independent data sets (typically, these models work best with the population on which they are built)

#### **Questions?**

#### References

- 1. FRANK E. HARRELL R-STUDIO PACKAGES: 'SURVAUC' AND 'RCORR.CENS {HMISC}'
- 2. NATIONAL CANCER INSTITUTE
  - <u>http://seer.cancer.gov/statfacts/html/lymph.h</u> <u>tml</u>